

#15 req for refund  
9/26/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): David P. Bour; Nathan F. Gardner; Werner K. Goetz; Stephen A. Stockman; Tetsuya Takeuchi; Ghulam Hasnain; Christopher P. Kocot; Mark R. Hueschen  
Assignee: Lumileds Lighting U.S. LLC  
Title: Light Emitting Diodes with Graded Composition Active Regions  
Serial No.: 09/912,589 Filed: July 24, 2001  
Examiner: Wai Sing Louie Group Art Unit: 2814  
Docket No.: M-8772 US

San Jose, California  
August 26, 2003

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

ATTN: Refund Section, Accounting Division,  
Office of Finance

**REQUEST FOR REFUND**

Sir:

Applicants respectfully request a refund in the amount of \$18.00, which was erroneously charged to Deposit Account No. 502226 on the July 2003 Monthly Statement of Account.

Applicants filed a Response to Office Action on June 10, 2003 withdrawing 15 claims and adding 1 dependent claim for a total of 17 claims (including 2 independent claims). Originally, Applicants had paid for a total of 31 claims, (including 4 independent claims). Applicants believe that no additional amount was owed with the response to Office Action. However, the amount of \$18.00 was posted to the July 2003 Monthly Statement of Deposit Account (line item highlighted on attached copy). We are also enclosing a copy of the transmittal letter and response to Office Action dated June 10, 2003.

In view of the above, Applicants request a refund in the amount of \$18.00 to Deposit Account No. 502226.

Patent Law Group LLP  
135 North First St.  
Suite 223  
San Jose, CA 95134  
(408) 382-0480  
FAX (408) 382-0481

If there are any questions regarding this request, please call Applicants' attorney at (408) 382-0480. This request is submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on 8/26/03.

R. Leiterman 8/26/03  
Attorney for Applicant(s) Date of Signature

Respectfully submitted,

R. Leiterman

Rachel V. Leiterman  
Attorney for Applicants  
Reg. No. 46,868

**Deposit Account Statement**

**Requested Statement Month:** July 2003  
**Deposit Account Number:** 502226  
**Name:** PATENT LAW GROUP LLP  
**Attention:** DAVID C. HSIA  
**Address:** 2635 NORTH FIRST STREET  
**City:** SAN JOSE  
**State:** CA  
**Zip:** 95134

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/01	11	09912589	M-8772 US	1202	\$18.00	\$11,117.00
07/01	18	09999636	M-11466-5PUS	2501	\$650.00	\$10,467.00
07/01	19	09999636	M-11466-5PUS	1504	\$300.00	\$10,167.00
07/01	20	09999636	M-11466-5PUS	8001	\$12.00	\$10,155.00
07/03	230	10261534	LM010502	8007	\$60.00	\$10,095.00
07/03	232	10260090	LUM020401	8007	\$60.00	\$10,035.00
07/03	234	10317956	LUMM9240US	8007	\$60.00	\$9,975.00
07/03	235	10256706	LUM020905	8007	\$60.00	\$9,915.00
07/03	237	60435838	LUM020801PV	8007	\$60.00	\$9,855.00
07/03	238	10317309	LUM020704	8007	\$60.00	\$9,795.00
07/07	13	10095552	M-8901-3P US	1201	\$84.00	\$9,711.00
07/07	14	10095552	M-8901-3P US	1202	\$270.00	\$9,441.00
07/07	15	10095552	M-8901-3P US	1806	\$180.00	\$9,261.00
07/07	20	E-REPLENISHMENT		9203	-\$2,521.00	\$11,782.00
07/07	56	09891666	LUM-PHNL000384	1801	\$750.00	\$11,032.00
07/07	57	09891666	LUM-PHNL000384	1202	\$270.00	\$10,762.00
07/09	39	10226975	ATRM12212	8007	\$40.00	\$10,722.00
07/09	39	10613397	KUO-P103	2001	\$375.00	\$10,347.00
07/09	40	10613397	KUO-P103	2202	\$135.00	\$10,212.00
07/09	41	10613397	KUO-P103	2201	\$42.00	\$10,170.00
07/09	105	10613649	Y&M-P101	2001	\$375.00	\$9,795.00
07/10	54	10614945	ARC-P123	2001	\$375.00	\$9,420.00
07/10	72	10614883	ARC-P122	2001	\$375.00	\$9,045.00
07/10	88	10614879	ARC-P120	2001	\$375.00	\$8,670.00
07/10	92	10614876	ARC-P121	2001	\$375.00	\$8,295.00
07/10	131	10614878	LUM-PHNL020636	1001	\$750.00	\$7,545.00
07/11	115	10603455	ATR-A-122	8021	\$40.00	\$7,505.00
07/14	46	4832342	6459-1 FWC	1558	\$1,640.00	\$5,865.00

07/14 47	4832342	6459-1 FWC	1553	\$3,150.00	\$2,715.00
07/15 4	E-REPLENISHMENT		9203	-\$6,205.00	\$8,920.00
07/15 252	09407231	M-9339US	1501	\$1,300.00	\$7,620.00
07/15 253	09407231	M-9339US	8001	\$12.00	\$7,608.00
07/16 64	10618233	MIC-M073	1001	\$750.00	\$6,858.00
07/16 65	10618233	MIC-M073	1201	\$84.00	\$6,774.00
07/16 66	10618233	MIC-M073	1202	\$72.00	\$6,702.00
07/17 9	10618398	PIX-P-071-ID	2001	\$375.00	\$6,327.00
07/17 10	10618398	PIX-P-071-ID	2202	\$54.00	\$6,273.00
07/17 11	10618398	PIX-P-071-ID	2201	\$42.00	\$6,231.00
07/17 13	10618376	MIC-M074	1001	\$750.00	\$5,481.00
07/17 14	10618376	MIC-M074	1202	\$288.00	\$5,193.00
07/21 34	10620580	PIX-P-036P1	2001	\$375.00	\$4,818.00
07/21 35	10620580	PIX-P-036P1	2201	\$126.00	\$4,692.00
07/21 36	10620580	PIX-P-036P1	2202	\$126.00	\$4,566.00
07/21 242	10618233	MIC-M073	8021	\$40.00	\$4,526.00
07/21 431	10277638	MIC-M066	1501	\$1,300.00	\$3,226.00
07/21 432	10277638	MIC-M066	1504	\$300.00	\$2,926.00
07/23 54	10209468	LUM020202	8007	\$60.00	\$2,866.00
07/24 1	09823841	M-9728 US	1251	\$110.00	\$2,756.00
07/24 44	10614879	ARC-P120	8021	\$40.00	\$2,716.00
07/24 50	E-REPLENISHMENT		9203	-\$3,408.00	\$6,124.00
07/24 141	10614945	ARC-P123	8021	\$40.00	\$6,084.00
07/24 311	10614883	ARC-P122	8021	\$40.00	\$6,044.00
07/25 213	09660317	M-8633 US	1202	\$252.00	\$5,792.00
07/25 214	09660317	M-8633 US	1201	\$84.00	\$5,708.00
07/25 215	09660317	M-8633 US	1801	\$750.00	\$4,958.00
07/28 151	10614876	ARC-P121	8021	\$40.00	\$4,918.00
07/28 177	09545045	M-8327 US	2251	\$55.00	\$4,863.00
07/28 197	10618376	MIC-M074	8021	\$40.00	\$4,823.00
07/29 80	10627039	JBYP101	2001	\$375.00	\$4,448.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$11,135.00	\$18,821.00	\$12,134.00	\$4,448.00

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June 10, 2003

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

R Applicant(s): David P. Bour; Nathan F. Gardner; Werner K. Goetz; Stephen A.  
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Docket No.: M-8772 US  
Filed: July 24, 2001  
Group Art Unit: 2814

Dear Sir:

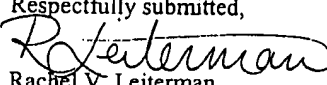
Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter (1 page);
- (2) Response to Office Action (5 pages).

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For		Present Extra	Rate		Additional Fee
Total Claims	17	Minus	31	=	0	x \$18	\$	0.00
Independent Claims	2	Minus	4	=	0	x \$84	\$	0.00
<input type="checkbox"/>	Fee of _____ for the first filing of one or more multiple dependent claims per application							\$
<input checked="" type="checkbox"/>	Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.							
<input type="checkbox"/>	Please charge our Deposit Account No. 502226 in the amount of							0.00
<input checked="" type="checkbox"/>	Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502226.							
Total:							\$	0.00

EXPRESS MAIL LABEL NO:  
EV 325213883 US

Respectfully submitted,  
  
Rachel V. Leiterman  
Attorney for Applicant(s)  
Reg. No. 46,868